

Attorney Docket No. 5614.06

) م

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of

Inventors: ZUBRIN et al.

Appl. No.: 09/865,995

Group Art Unit: 3761

Filed: May 25, 2001 Examiner: Not Yet Assigned

For: NITROUS OXIDE BASED OXYGEN SUPPLY SYSTEM

Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231 Express Mailing label number: __EL 779 734 438 US_

Date of Deposit: October 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Ex press Mail-Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Jane Lalis/DORSEY & WHITNEY LLP

Signature: ______

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

The Filing Receipt dated June 14, 2001, contains an error in the filing date.

Specifically, please correct the filing date to reflect a filing date of May 25, 2001. Copies of the Express Mail filing receipt, return acknowledgement postcard, and filing documentation are attached for your review.

A copy of the Filing Receipt with the correction noted thereon is also attached for your convenience.

A corrected filing receipt is respectfully requested.

RECEIVED

OCT 2 4 2001

OFFICE OF PETITIONS

Dated: 10 - 3 - 2001

James A. Pinto, Esq.

Registration No. 40,774

Customer No. 20686

JAP/CAF

RECEIVED

OCT 2 4 2001

OFFICE OF PETITIONS



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 | 100 |

05/25/2001

CONFIRMATION NO. 6403

FILING RECEIPT

OC00000006180406

DORSEY & WHITNEY, LLP SUITE 4700

370 SEVENTEENTH STREET DENVER, CO 80202-5647

JUN 1 9 2001

Date Mailed: 06/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert M. Zubrin, Denver, CO; Brian M. Frankie, Denver, CO; Scott E. Lowther, Denver, CO;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/064,998 04/23/1998

Foreign Applications

If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

RECEIVED

Non-Publication Request: No

OCT 2 4 2001

Early Publication Request: No

OFFICE OF PETITIONS

** SMALL ENTITY **

Title

Nitrous oxide based oxygen supply system

Mail Ledger

Docketed

Due Date

. Preliminary Class

128

Data entry by : NEGASH, GHIDEI

Team : OIPE

Date: 06/14/2001



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

PATENT Attorney Docket No. 5614.06 Express Mail Label No. EL779732834US

Examiner:

Art Unit:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re the Application of:

Robert M. ZUBRIN, et al.

Serial No.

Filed: HEREWITH

For: NITROUS OXIDE BASED OXYGEN SUPPLY

SYSTEM

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

RECEIVED

OCT 2 4 2001

Sir:

OFFICE OF PETITIONS

The undersigned hereby certifies that the enclosed:

- 1. Utility Patent Application Transmittal (Continuation) (4 pages);
- 2. Combined Declaration and Power of Attorney (unsigned) (3 pages);
- 3. Four (4) Sheets of Formal Drawings;
- 4. Copy of Parent Application Serial No. 09/064,998 including specification (20 pages), claims (8 pages), abstract (1 page), and drawings (4 pages);
- 5. Check in the Amount of \$355.00;
- 6. Certificate of Mailing by Express Mail; and
- 7. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EL779732834US with the United States Postal Service, addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C., 20231, on this 25 day of May, 2001.

May <u>25</u>, 2001

May 25, 2001

Mailer

James A. Pinto, Registration No. 40,774

DORSEY & WHITNEY LLP

IJSPTO Customer No. 20686

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 5614.06

OTP E(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

OCT 0 3 2001

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

NITR	OUS O	KIDE 1	BASI	ED OXYGEN	SUP	PPLY SYSTEM		
	ented by		, Bri	an M. FRANI	Œ a	and Scott E. LOWTHER		
a COI	NTINU <i>A</i>	TION	APF	PLICATION,		ck appropriate box and supply	the requisite information:	
	ontinuat				_	Continuation-in-part (CIP)		09/064,998
	ontinua	lion		Divisional		Continuation-in-part (CIP)	of prior application No.:	
Which is □ Co	s a: ontinu <u>a</u> (ion		Divisional		Continuation-in-part (CIP)	of prior application No.:	
Enclose		-	in Ja.	<u> </u>		Application Elements	•	
1. (⊠ Filir	ng fee	as c	alculated and	l trar	nsmitted as described below		
2. (☐ Spe	ecifica:	tion 1	having		pages and i	including the following:	
	a. 🛚	Desc	cripti	ive Title of the	: Inve	ention		
	b. 🗆	Cros	is Re	eferences to I	Relat	ted Applications (if applicable))	
	c. 🗆	State	emei	nt Regarding	Fede	erally-sponsored Research/De	evelopment (if applicable)	
	d. 🗆	Refe	erenc	e to Microficl	ne Aį	ppendix <i>(if applicable)</i>		
	e. 🛚			und of the Inv				
	f. 🗆			mmary of the				
	g. 🗆			•	e Dn	awings (if drawings filed)		
	h. 🗆			Description	_			
	i. 🗆		• •	as Classified				
	j. 🗆	Abs	tract	of the Disclo	sure			

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 5614.06

PE (Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

_			<u> 37</u>	\					
OCT	0 3	2001	₽/	Application Elements (Continued)					
か -	_		J an	awing(s) (when necessary as prescribed by 35 USC 113)	·				
•	TRAD	PER P	\boxtimes	Formal b. Informal Number of Sheets 4	·				
4	4.	×	Oath	ath or Declaration					
		a.		Newly executed (original or copy)					
		b.		Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only,)				
		C.	×	With Power of Attorney					
		d.		<u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).					
	5.	×	The Box	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
	6.		Con	omputer Program in Microfiche	1				
	7.		Gen	enétic Sequence Submission (if applicable, all must be included)					
		a.		Paper Copy					
		b.		Computer Readable Copy					
		C.	☐ Statement Verifying Identical Paper and Computer Readable Copy						
				Accompanying Application Parts					
	8.		Assi	ssignment Papers (cover sheet & documents)					
	9.		37 C	37 CFR 3.73(b) Statement (when there is an assignee)					
1	10.		Eng	English Translation Document (if applicable)					
1	11.	0	Info	Information Disclosure Statement/PTO-1449					
1	12.	۵	Prei	Preliminary Amendment					
1	13.	×	Ack	cknowledgment postcard					
1	14.	Ø	Cert	Certificate of Mailing					

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 5614.06

Total Pages in this Submission

51	P	E	Only for new nonprovisional applications under 37 CFR 1.53(b))							
ict	0 3	2001	Accompanying Application Parts (Continued)							
	15.		ertified Copy of Priority Document(s) (if foreign priority is claimed)							
હ	RAO		Small Entity Statement(s) - Specify Number of Statements Submitted:							
	17.	×	Additional Enclosures (please identify below):							
			Copy of Parent Application Serial No. 09/064,998 including specification (20 pages), claims (8 pages), abstract (1 page), and drawings (4 pages).							
			Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)							
	18 .		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.							

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A fallure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 5614.06

Total Pages in this Submission



RECEIVED

OCT 2 4 2001

OFFICE OF PETITIONS

Fee Calculation and Transmittal

		CLAIMS	AS FILED_			
For	#Filed	#Allowed	#Extra		Rate	Fee
Total Claims	47	- 20 =	27	x	\$9.00	\$243.00
ndep. Claims	3	- 3 =	0	x	\$40.00	\$0.00
Multiple Dependen	t Claims (check	if applicable)				\$0.00
					BASIC FEE	\$355.00
OTHER FEE (spec	cify purpose)					\$0.00
					TOTAL FILING FEE	\$598.00
as described be Charge Credit Charge Charge	elow. A duplicate the amount of any overpaymen any additional f	t. iling fees required et in 37 C.F.R. 1.1	et is enclosed as filing fee. under 37 C.I	I. F.R. 1.16		
Dated: May $75,20$	001		 J	ames A.]	Signature Pinto, Reg. No. 40,774	·

CC:



RETURN RECEIPT POSTCARD - PATENT AMENDMENT

Serial No	Atty Docket No. 5614.06 Client No. 457660-4 ED OXYGEN SUPPLY SYSTEM
PLEASE STAMP AND CONFIRM RECEIPT IN THE	HE USPTO OF THE FOLLOWING:
Missing Parts: Declaration & POA Small Entity Declaration PTO Notice Information Disclosure Statement PTO 1449 References Assignment w/cover sheet XX Request for Corrected Filing Receipt Preliminary Amendment Other	Amendment/Response Amendment transmittal letterExtension of time Terminal DisclaimerIssue fee transmittal Formal dwgs, No. sheetsNotice of Appeal Appeal Brief XX Certificate of Mailing by Express Mail Fee \$
Date mailed: October 3, 2001 D	Pate due: N/A



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspto.gov

ATTY. DOCKET NO/TITLE FIRST NAMED APPLICANT FILING DATE APPLICATION NUMBER 5614.06 05/21/2001 09/865,995

Robert M. Zubrin

20686 DORSEY & WHITNEY, LLP **SUITE 4700** 370 SEVENTEENTH STREET **DENVER, CO 80202-5647**



Date Mailed: 11/28/2001

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Application Filing Date

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

	The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
Ø	If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found or the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
	A copy of the Express Mail label was not enclosed with your request. Please resubmit.
	The date on the Express Mail label is the date given on the Filing Receipt.
	The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
	Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
	Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office.
	The filing date on your return postcard is the same as the date on your Filing Receipt.





A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions.

- Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY